



TRANSMITTAL LETTER			Case No. 9281-4709
Serial No. 10/688,762	Filing Date October 17, 2003	Examiner To be Assigned	Group Art Unit
Inventor: Sumihito Morita et al.			
Title of Invention THIN FILM MAGNETIC HEAD HAVING PARTIAL INSULATING LAYER FORMED ON BOTTOM POLE LAYER THROUGH GAP LAYER AND METHOD OF MANUFACTURING SAME			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement (in duplicate); PTO Form 1449; Copy of Office Action for Japanese Patent Application No. 2000-274930; References B1-B5 and Return Postcard

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a \_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

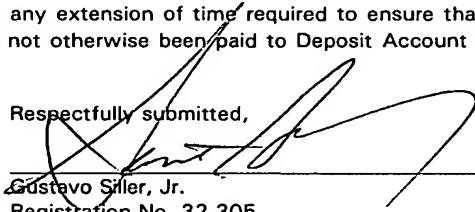
Claims as Filed	Col. 1	Col. 2
For	No. Filed	No. Extra
Basic Fee		
Total Claims	-20	
Indep. Claims	-3	
Multiple Dependent Claims Present		

\*If the difference in col. 1 is less than zero, enter "0" in col. 2.

Small Entity		or	Other Than Small Entity	
Rate	Fee		Rate	Fee
	\$ 385	or		\$ 770
x\$9=	\$	or	x\$18=	\$
x\$43=	\$	or	x\$86=	\$
+\$145=	\$	or	+\$290=	\$
Total	\$	or	Total	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_ to cover the filing fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☐ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
Gustavo Siler, Jr.  
Registration No. 32,305  
Attorney for Applicant  
Customer No. 00757 - Brinks Hofer Gilson Lione

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 12/17/03

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Date of Deposit

Gustavo Siller, Jr., Reg. No. 32,305

Name of Applicant, Assignee or  
Registered Representative

[Signature]  
Signature

Our Case No. 9281-4709  
Client Reference No. N US03516

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Sumihito Morita et al. )  
Serial No. 10/688,762 )  
Filing Date: October 17, 2003 )  
For: Thin Film Magnetic Head Having Partial )  
Insulating Layer Formed On Bottom Pole Layer )  
Through Gap Layer And Method Of )  
Manufacturing Same )

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Dear Sir:

Pursuant to the obligation under 37 C.F.R. 1.56 and in conformance with 37 C.F.R. 1.97-1.99, Applicants hereby submit references B1-B5 listed on the attached form PTO-1449 for consideration by the Examiner. Copies of the references are enclosed herewith.

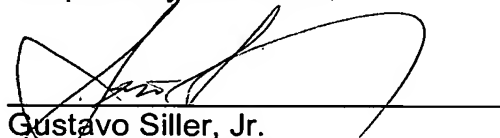
In conformance with 37 C.F.R. 1.97, Applicants state that each item of information contained herein was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the supplemental information disclosure statement. Also enclosed is a copy of an Office Action issued on September 26, 2003 for the corresponding Japanese Patent Application No. 2000-274930 of the above-identified application.

The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. Section 1.56(b). Further, Applicants reserve the right to contest these references as prior art against the present application, and Applicants do not believe that the disclosure of these references, even if finally determined to be prior art, anticipates Applicants' invention or that these references make Applicants' invention obvious.

No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925.

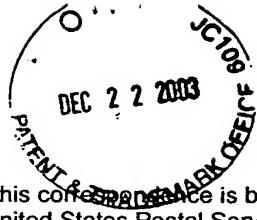
Applicants respectfully request that the Examiner review the entire disclosure of these documents and make them of record.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Gustavo Siller, Jr.", is written over a horizontal line.

Gustavo Siller, Jr.  
Registration No. 32,305  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200



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Date of Deposit

Gustavo Siller, Jr., Reg. No. 32,305

Name of Applicant, Assignee or  
Registered Representative

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Respectfully submitted,

  
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Gustavo Siller, Jr.  
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Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200



<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (use several sheets if necessary)	<b>SERIAL NO.</b> 10/688,762	<b>CASE NO.</b> 9281-4709
	<b>FILING DATE</b> October 17, 2003	<b>GROUP ART UNIT</b>
	<b>APPLICANT(S):</b> Sumihito Morita et al.	

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
	B1	2000-149219	05/2000	Japan		X	
	B2	05-006514	01/1993	Japan		X	
	B3	08-339508	12//1996	Japan		X	
	B4	11-350171	12/1999	Japan		X	
	B5	2002-8208A	01/2002	Japan		X	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.